

MANUAL of FINFLOOR SA (PTY) LTD

Including all branches and wholly owned subsidiaries

Prepared in accordance with Section 51 of the Promotion of Access to Information Act, No 2 of 2000. (Private Body)

Last Updated: 1 November 2004

1. INTRODUCTION

1.1. The Promotion of Access to Information Act, No 2 of 2000 ("the Act") was enacted on 3 February 2000, giving effect to the constitutional right of access to any information held by the State and any information that is held by another person and that is required for the exercise or protection of any rights. Where a request is made in terms of the Act, the body to whom the request is made is obliged to release the information, **except where the Act expressly provides that the information may or must not be released.** The Act sets out the requisite procedural issues attached to such request.

1.2. PURPOSE OF THE MANUAL

This manual is intended to foster a culture of transparency and accountability.

In order to promote effective governance of private bodies, it is necessary to ensure that everyone is empowered and educated to understand their rights in terms of the Act in order for them to exercise their rights in relation to public and private bodies.

Section 9 of the Act however recognises that such right to access to information cannot be unlimited and should be subject to justifiable limitations, including, but not limited to:

- Limitations aimed at the reasonable protection of privacy;
- Commercial confidentiality; and
- Effective, efficient and good governance;

and in a manner which balances that right with any other rights, including such rights contained in the Bill of Rights in the Constitution.

Whenever reference is made in this manual to "Private Body" it will refer to Business Partners Ltd including all branches and wholly owned subsidiaries, for whom this manual is drafted.

PART I

2. CONTACT DETAILS

2.1 Information Officer:	Ryan Shotland
Postal address:	P O Box 2867, Durban, 4000
Physical Address:	14 Cassiafield Grove, Springfield Park, Durban
Tel:	(031) 579 4631 (<i>national</i>) +27 31 579 4631 (<i>international</i>)
Fax:	(031) 579 5599
E-mail:	info@Finfloor.co.za

2.2 GENERAL INFORMATION

2.2.1	Name of Private Body:	FINFLOOR SA (PtY) LTD
2.2.2	Postal Address:	P O Box 2867, Durban, 4000
2.2.3	Physical Address:	14 Cassiafield Grove, Springfield Park, Durban
2.2.4	Telephone Number:	(031) 579 4631 (<i>national</i>) +27 31 579 4631 (<i>international</i>)
2.2.5	Managing director:	R Shotland
2.2.6	Facsimile number:	(031) 579 5599
2.2.7	E-mail:	info@finfloor.co.za
2.2.8	Website:	www.finfloor.co.za

PART II

3. GUIDE OF SOUTH AFRICAN HUMAN RIGHTS COMMISSION

The South African Human Rights Commission has at the date of printing hereof not yet compiled the guide contemplated in Section 10 of the Act. The guide will contain such information as may reasonably be required by a person who wishes to exercise any right contemplated in the Act. Any enquiries regarding this guide should be directed to:

The South African HUMAN RIGHTS COMMISSION,
at PAIA Unit (the Research and Documentation Department),
Private Bag X2700,
HOUGHTON,
2041;
Telephone Number: (011) 484-8300;
Facsimile Number: (011) 484-1360;
Website: www.sahrc.org.za;
E-mail Address: PIAI@sahrc.org.za.

PART III

3.1. RECORDS OF FINFLOOR SA (PTY) LTD

This clause serves as a reference to the records that the company holds in order to facilitate a request in terms of the Act.

The information is classified and grouped according to records relating to the following subjects and categories:

It is recorded that the accessibility of the documents listed herein below is subject to the grounds of refusal set out in the Act.

3.1.1. PERSONNEL RECORDS

- 3.1.1.1. Personal records provided by personnel;
- 3.1.1.2. Personnel files with salary status; deductions; leave records; educational history; letter of appointment; records relating to increases; disciplinary records; performance management records; long service awards etc.
- 3.1.1.3. Records provided by a third party relating to personnel;
- 3.1.1.4. Conditions of employment and other personnel-related contractual and quasi-legal records;
- 3.1.1.5. Internal evaluation records and other internal records;
- 3.1.1.6. Correspondence relating to personnel;
- 3.1.1.7. Training schedules, records and material;
- 3.1.1.8. Other personnel-related documents such as: Employment Equity Plan, Skills and Development Plan; Attendance register; Attendance register for training purposes and identification records for security purposes.

"Personnel" refers to any person who works for, or provides services to or on behalf of the company, and receives or is entitled to receive remuneration and any other person who assists in carrying out or conducting the business of the company. This includes, without limitation, directors (executive and non-executive), all permanent, temporary and part-time staff, as well as contract workers.

3.1.2. CUSTOMER RELATED RECORDS

- 3.1.2.1. Records provided by a customer to a third party acting for or on behalf of the company;
- 3.1.2.2. Records provided by a third party;
- 3.1.2.3. Records generated by or within the company pertaining to its customers, including transactional records;

A "customer" refers to any natural or juristic entity that receives services from the company.

3.1.3. PRIVATE BODY RECORDS

- 3.1.3.1. Incorporation records: documents of incorporation; minutes of meetings; Memorandum and Articles of Association; Shareholder's agreement etc.
- 3.1.3.2. Financial records: original Service Council records, VAT records, TAX records, PAYE records, UIF records, asset inventory, asses register, order forms, invoices, monthly statements, debit and credit notes, bank account and investment account statements: financial statements, etc.
- 3.1.3.3. Operational records: promotional material; marketing core reports, policies regarding business activities; records regarding registered trade marks; licences and authorities; records on investment in small and medium enterprises; records with regard to business plans; strategy, vision and mission.
- 3.1.3.4. Databases;
- 3.1.3.5. Information Technology records;
- 3.1.3.6. Marketing records;
- 3.1.3.7. Internal correspondence;
- 3.1.3.8. Statutory records;

- 3.1.3.9. Internal Policies and Procedures;
 - 3.1.3.10. Treasury-related records;
 - 3.1.3.11. Records held by officials of the company;
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PART IV

4. GROUNDS FOR REFUSAL OF ACCESS TO RECORDS

The grounds for refusal are set out in the Act. The main grounds for the company to refuse a request for information relate to the -

- 4.1. mandatory protection of the privacy of a third party who is a natural person, which would involve the unreasonable disclosure of personal information of that natural person;
- 4.2. mandatory protection of the commercial information of a third party, if the record contains:
 - 4.2.1. trade secrets of that third party;
 - 4.2.2. financial, commercial, scientific or technical information which disclosure could likely cause harm to the financial or commercial interests of that third party;
 - 4.2.3. information disclosed in confidence by a third party to the company, if the disclosure could put that third party at a disadvantage in negotiations or commercial competition;
- 4.3. mandatory protection of confidential information of third parties if it is protected in terms of any agreement;
- 4.4. mandatory protection of the safety of individuals and the protection of property;
- 4.5. mandatory protection of records which would be regarded as privileged in legal proceedings;
- 4.6. the commercial activities of the company, which may include –
 - 4.6.1. trade secrets of the company;
 - 4.6.2. financial, commercial, scientific or technical information which disclosure could likely cause harm to the financial or commercial interests of the company;
 - 4.6.3. information which, if disclosed could put the company at a disadvantage in negotiations or commercial competition;
 - 4.6.4. a computer program which is owned by the company, and which is protected by copyright.
- 4.7. the research information of the company or a third party, if its disclosure would disclose the identity of the company, the researcher or the subject matter of the research and would place the research at a serious disadvantage;

Requests for information that are clearly frivolous or vexation, or which involve an unreasonable diversion of resources shall be refused.

5. REMEDIES AVAILABLE WHEN THE COMPANY REFUSES A REQUEST FOR INFORMATION

5.1. INTERNAL REMEDIES

The company does not have an internal appeal procedure. As such, the decision made by the information officer is final, and requestors will have to exercise such external remedies at their

disposal if the request for information is refused, and the requestor is not satisfied with the answer supplied by the information officer.

5.2. EXTERNAL REMEDIES

A requestor that is dissatisfied with an information officer's refusal to disclose information, may within 30 days of notification of the decision, apply to a Court for relief.

Likewise, a third party dissatisfied with an information officer's decision to grant a request for information, may within 30 days of notification of the decision, apply to a Court for relief. For purposes of the Act, the Courts that have jurisdiction over these applications are the Constitutional Court, the High Court or another court of similar status.

6. REQUEST PROCEDURE

- 6.1. The requester must comply with all the procedural requirements contained in the Act relating to the request for access to a record.
- 6.2. The requester must complete the prescribed form enclosed herewith in **Appendix 1**, and submit same as well as payment of a request fee and a deposit, if applicable to the Information Officer at the postal or physical address, fax number or electronic mail address as stated in 2.1 above.
- 6.3. The prescribed form must be filled in with enough particularity to at least enable the Information Officer to identify –
 - 6.3.1. The record or records requested;
 - 6.3.2. The identity of the requester,
 - 6.3.3. Which form of access is required, if the request is granted;
 - 6.3.4. The postal address or fax number of the requester.
- 6.4. The requester must state the he or she requires the information in order to exercise or protect a right, and clearly state what the nature of the right is so to be exercised or protected. In addition, the requester must clearly specify why the record is necessary to exercise or protect such a right.
- 6.5. The company will process the request within 30 days, unless the requestor has stated special reasons which would satisfy the Information officer that circumstances dictate that the above time periods not be complied with.
- 6.6. The requester shall be informed whether access is granted or denied. If, in addition, the requester requires the reasons for the decision in any other manner, he or she must state the manner and the particulars so required.
- 6.7. If a request is made on behalf of another person, then the requester must submit proof of the capacity in which the requester is making the request to the reasonable satisfaction of the information officer.
- 6.8. If an individual is unable to complete the prescribed form because of illiteracy or disability, such a person may make the request orally.
- 6.9. The requester must pay the prescribed fee, before any further processing can take place.

7. ACCESS TO RECORDS HELD BY THE COMPANY

- 7.1. Records held by the company may be accessed by requests only once the prerequisite requirements for access have been met.
- 7.2. A requester is any person making a request for access to a record of the company. There are two types of requesters:

7.2.1. PERSONAL REQUESTER

- 7.2.1.1. A personal requester is a requester who is seeking access to a record containing personal information about the requester.
- 7.2.1.2. The company will voluntarily provide the requested information, or give access to any record with regard to the requester's personal information. The prescribed fee for reproduction of the information requested will be charged.

7.2.2. OTHER REQUESTER

- 7.2.2.1. This requester (other than a personal requester) is entitled to request access to information on third parties. However, the company is not obliged to voluntarily grant access. The requester must fulfil the prerequisite requirements for access in terms of the Act, including the payment of a request and access fee.

8. FEES

- 8.1. The Act provides for two types of fees, namely:
 - 8.1.1. A request fee, which will be a standard fee; and
 - 8.1.2. An access fee, which must be calculated by taking into account reproduction costs, search and preparation time and cost, as well as postal costs.
- 8.2. When the request is received by the Information Officer, such officer shall by notice require the requester, other than a personal requester, to pay the prescribed request fee (if any), before further processing of the request.
- 8.3. If the search for the record has been made and the preparation of the record for disclosure, including an arrangement to make it available in the requested form, requires more than the hours prescribed in the regulations for this purpose, the information officer shall notify the requester to pay as a deposit the prescribed portion of the Access fee which would be payable if the request is granted.
- 8.4. The information officer shall withhold a record until the requester has paid the fees as indicated in **Appendix 2**.
- 8.5. A requester whose request for access to a record has been granted, must pay an access fee for reproduction and for search and preparation, and for any time reasonably required in excess of the prescribed hours to search for and prepare the record for disclosure including making arrangements to make it available in the request form.
- 8.6. If a deposit has been paid in respect of a request for access, which is refused, then the information officer concerned must repay the deposit to the requester.

9. DECISION

- 9.1. The company will, within 30 days of receipt of the request, decide whether to grant or decline the request and give notice with reasons (if required) to that effect.
- 9.2. The 30 day period within which the company has to decide whether to grant or refuse the request, may be extended for a further period of not more than thirty days if the request is for a large number of information, or the request requires a search for information held at another office of the company and the information cannot reasonably be obtained within the original 30 day period. The company will notify the requester in writing should an extension be sought.

10. LIST OF APPLICABLE LEGISLATION:

10.1. A table of legislation setting out a description of the records of the company which are available in accordance with other legislation, is annexed hereto marked Appendix 3.

11. AVAILABILITY OF THE MANUAL

11.1. This manual is made available in terms of Regulation Number R. 187 of 15 February 2002.

11.2. The manual of the company will also be available on the website of the company.

APPENDIX - 1

PRESCRIBED FORM TO BE COMPLETED BY A REQUESTER

FORM B

REQUEST FOR ACCESS TO RECORDS OF PRIVATE BODY

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(Section 53(1) of the Promotion of Access to Information Act, No 2 of 2000)

(Regulation 4)

A. Particulars of private body

The Head:

B. Particulars of Person requesting access to the record

(a) The particulars of the person who requests access to the records must be recorded below.

(b) Furnish an address and/or fax number in the Republic to which information must be sent.

(c) Proof of the capacity in which the request is made, if applicable, must be attached.

Full names and surname:	
Identity number:	
Postal address:	
Telephone number:	
Fax number:	
E-mail address:	
Capacity in which request is made, when made on behalf of another person:	

C. Particulars of person of whose behalf request is made:

This section must be completed only if a request for information is made on behalf of another person

Full names and surname:	
Identity number:	

D. Particulars of Record:

- (a) Provide full particulars of the record to which access is requested, including the reference number if that is known to you, to enable the record to be located.
- (b) If the provided space is inadequate please continue on a separate folio and attach it to this form. ***The requester must sign all the additional folios***

1. Description of the record or relevant part of the record:	
2. Reference number, if available:	
3. Any further particulars of the record:	

E. Fees:

- (a) A request for access to a record, other than a record containing personal information about yourself, will be processed only after a request fee has been paid.
- (b) You will be notified of the amount of the request fee.
- (c) The fee payable for access to a record depends on the form in which the access is required and the reasonable time required to search for and prepare a record.
- (d) If you qualify for exemption of the payment of any fee, please state the reason therefore.

Reason for exemption of payment of the fee:

F. Form of Access to the Record:

If you are prevented by a disability to read, view or listen to the record in the form of access provided for in 1 to 4 hereunder, state your disability and indicate in which form the record is required.

Disability:	Form in which record is required:

Mark the appropriate box with an "X"

NOTES:

- (a) Your indication as to the required form of access depends on the form in which the record is available.
- (b) Access in the form requested may be refused in certain circumstances. In such a case you will be informed if access will be granted in another form. (
- c) The fee payable for access to the record, if any, will be determined partly by the form in which access is requested.

1. If the record is in written or printed form:			
<input type="checkbox"/>	Copy of record*	<input type="checkbox"/>	Inspection of record

2. If the record consists of visual images: <i>(This includes photographs, slides, video recordings, computer-generated images, sketches, etc)</i>			
<input type="checkbox"/>	View the images	<input type="checkbox"/>	Copy of the images*
<input type="checkbox"/>		<input type="checkbox"/>	Transcription of the images*

3. If the record consists of recorded words or information which can be reproduced in sound:			
<input type="checkbox"/>	Listen to the soundtrack (audio cassette)	<input type="checkbox"/>	Transcription of the soundtrack* (written or printed document)

4. If the record is held on computer or in an electronic or machine-readable form:			
<input type="checkbox"/>	Printed copy of record	<input type="checkbox"/>	Printed copy of information derived from the record*
<input type="checkbox"/>		<input type="checkbox"/>	Copy in computer readable form* (stiffy or compact disc)

*If you requested a copy or transcription of a record (above), do you wish the copy or transcription to be posted to you? A postal fee is payable.	Yes	No
	<input type="checkbox"/>	<input type="checkbox"/>

G. Particulars of right to be exercised or protected:

If the provided space is inadequate, please continue of a separate folio and attach it to this form
The requester must sign all the additional folios

Indicate which right is to be exercised or protected:

1. Explain why the requested record is required for the exercising or protection of the aforementioned right:

H. Notice of decision regarding request for access:

You will be notified in writing whether your request has been approved/denied. If you wish to be informed thereof in another manner, please specify the manner and provide the necessary particulars to enable compliance with your request.

How would you prefer to be informed of the decision regarding your request for access to the record?

Signed at _____ this ____ day of _____
 200__

SIGNATURE OF REQUESTER/PERSON ON WHOSE BEHALF REQUEST IS MADE

APPENDIX – 2

REPRODUCTION FEES

Where requested document appear in the appendix 1 i.e. the institution has voluntarily provided the Minister with a list of categories of records that will automatically be made available to any person requesting access thereto, the only charge that may be levied for obtaining such records, will be a fee for reproduction of the record in question.

THE APPLICABLE FEES FOR REPRODUCTION AS REFERRED TO ABOVE ARE:

	R
* For every photocopy of an A4-size page or part thereof	1,10
* For every printed copy of an A4-size page or part thereof held on a computer or in electronic or machine readable form	0,75
* For a copy in a computer-readable form on:	
- Stiffy disc	7,50
- Compact disc	70,00
* A transcription of visual images, for an A4-size page or part thereof	40,00
* For a copy of visual images	60,00
* A transcription of an audio record, for an A4-size page or part thereof	20,00
* For a copy of an audio record	30,00

Request fees:

Where a requester submits a request for access to information held by an institution on a person other than the requester himself/herself, a request fee in the amount of R50,00 is payable up-front before the institution will further process the request received.

Access fees:

An access fee is payable in all instances where a request for access to information is granted, except in those instances where payment of an access fee is specially excluded in terms of the Act or an exclusion is determined by the Minister in terms of Section 54 (8).

The applicable access fees which will be payable are:

	R
* For every photocopy of an A4-size page or part thereof	1,10
* For every printed copy of an A4-size page or part thereof held on a computer or in electronic or machine readable form	0,75
* For a copy in a computer-readable form on:	

- Stiffy disc	7,50
- Compact disc	70,00
* A transcription of visual images, for an A4-size page or part thereof	40,00
* For a copy of visual images	60,00
* A transcription of an audio record, for an A4-size page or part thereof	20,00
* For a copy of an audio record	30,00
* To search for a record that must be disclosed per hour or part of an hour reasonably required for such search.	30,00
* Where a copy of a record needs to be posted the actual postal fee is payable.	

Deposits:

Where the institution receives a request for access to information held on a person other than the requester himself/herself and the information officer upon receipt of the request is of the opinion that the preparation of the required record of disclosure will take more than 6 (six) hours, a deposit is payable by the requester.

The amount of the deposit is equal to 1/3 (one third) of the amount of the applicable access fee.

Note: In terms of Regulation 8, Value Added Tax (VAT) must be added to all fees prescribed in terms of the Regulations.

Appendix 3

Table of Legislation

Legislation	Specific Section/ regulation	Description of Documents
Insolvency Act 24 of 1936	Section 21	7 Before awarding any creditor a share in the proceeds, the trustee may require the creditor to lodge with him, within a period to be determined by the Master, an affidavit, supported by such evidence as may be available, setting forth the result of such excursion and disclosing the balance of his claim which remains unpaid
	Section 44	4 Every claim shall be proved by affidavit which may be made by the creditor or by any person fully cognisant of the claim. The said affidavit or a copy thereof and any documents submitted in support of the claim shall be delivered at the office of the officer who is to preside at a meeting of creditors
	Section 152	If at any time after the sequestration of the estate of a debtor and before his rehabilitation, the Master is of the opinion that the insolvent or the trustee of that estate or any other person is able to give any information which the Master considers desirable to obtain concerning the insolvent or concerning his estate or the administration of the estate or concerning any claim or demand made against the estate he may by notice in writing deliver to such other person summon him to appear before the Master or before a

		<p>magistrate or an officer in the public service mentioned in such notice at a place and on a date and hour stated in such notice and to furnish the Master or other officer before him he is summoned to appear with all the information within his knowledge concerning the insolvent or concerning the insolvent's estate or the administration of the estate</p>
Constitution of SA Act No. 108 of 1996	Section 32	<p>1(b) Everyone has the right to access to any information that is held by another person and that is required for the exercise or protection of any rights. The rights in terms of the constitution are limited in terms of sec 36.</p>
Pension Funds	Act 24 of 1956 Sections 15 and 35	<p>(a) The Act provides for the registration, incorporation, regulation and dissolution of pension funds and for matters incidental thereto.</p> <p>(b) Section 15 provides that every registered fund shall within six months as from the expiration of every financial year furnish to the registrar such statements in regard to its revenue, expenditure and financial position as may be prescribed by regulation, duly audited and reported on by the auditor of the fund.</p> <p>(c) Section 35 (1) provides that every registered fund shall deliver to any member on demand by such member, and on payment of such sum as may be determined by the rules of the fund, a copy of any of the following documents, that is to say-</p> <p>(1) the rules of the fund;</p> <p>(2) The last revenue account and the last balance sheet prepared in terms of sub-section (1) of section fifteen.</p> <p>(d) Section 35 (2) provides that any member shall be entitled to inspect without charge at the registered office of a registered fund, a copy of any of the following documents and make extracts therefrom, that is to say-</p> <p>(1) the documents referred to in sub-section (1);</p> <p>(2) the last report (if any) by a valuator prepared in terms of section sixteen;</p> <p>(3) the last statement (if any) and report thereon prepared in terms of section seventeen;</p> <p>(4) any scheme which is being carried out by the fund in accordance with the provisions of section eighteen.</p>